



Federal Communications Commission
Washington, D.C. 20554

May 25, 2017

DA 17-521

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Re: *Request of Neustar, Inc. to Approve New Ownership of the Numbering Administrator*
(CC Docket Nos. 99-200, 95-116, and 92-237; CG Docket No. 03-123; WC Docket No. 05-196)

Dear Counsel,

On January 31, 2017, Neustar, Inc., the present North American Numbering Plan Administrator, Local Number Portability Administrator, Pooling Administrator, and Telecommunications Relay Service Numbering Administrator, filed a request for the Commission to approve its new owner, Aerial Investors LLC, a limited liability company formed by Golden Gate Private Equity, Inc.¹ To permit the Commission to review this request and make the necessary neutrality and impartiality determinations, we require additional information from parties in interest to this request.

Accordingly, pursuant to Section 251(e)(1) of the Act, and 47 C.F.R. § 52.12, we request that you provide written responses and supporting documentation for each Information Request set forth in the attachment and, where appropriate, amend the Application to reflect such responses. Please respond to the attached Information and Documentation Requests by **June 9, 2017**.

Submit responses to these Information and Documentation Requests in both paper and electronic form, unless only electronic form is specified. Submit responsive documents in electronic form only, unless otherwise specified. Your responses should be filed with Marlene H. Dortch, Secretary, Federal Communications Commission, in the following dockets: CC Docket Nos. 99-200, 95-116, and 92-237;

¹ Request of Neustar, Inc. to Approve New Ownership of the Numbering Administrator, CC Docket Nos. 99-200 et al at 1 (filed Jan. 31, 2017).

GC Docket No. 03-123; and WC Docket No. 05-196. We also request that you provide Michelle Sclater, Michelle.Sclater@fcc.gov, and Neil Dellar, Neil.Dellar@fcc.gov, with one copy of all paper and electronic materials filed in response to the Information Requests.

Should you have any questions regarding this matter, please contact Michelle Sclater at 202-418-0388 or Michelle.Sclater@fcc.gov.

Sincerely,

A handwritten signature in black ink that reads "Kris Anne Monteith". The signature is written in a cursive, flowing style.

Kris Anne Monteith
Chief
Wireline Competition Bureau

Attachment

ATTACHMENT

INFORMATION AND DOCUMENTATION REQUEST

1. Of the investment funds for which Golden Gate Capital serves as manager or advisor, please identify those funds investing in Telecommunications Service Providers (“TSPs”) or other companies connected to the communications industry, and the ownership percentage of such investments. In each case, identify the TSPs and other companies.
2. Of the investment funds for which Mr. Dominik serves as manager or advisor, please identify those funds investing in TSPs or other companies connected to the communications industry, and the ownership percentage of such investments. In each case, identify the TSPs and other companies.
3. Please identify the TSPs or other entities connected to the communications industry in which affiliates (as defined in 47 C.F.R. §52.12(a)(1)) of Golden Gate Capital or Mr. Dominik invest, manage or advise. Please also state the amount of each investment in percentage terms.
4. Please identify (1) the TSPs, and (2) the companies/entities (including their lines of business (e.g., managed services provider; equipment manufacturer), that are otherwise connected to the communications industry in which Hux Investment invests (directly or indirectly), and, in each case, the amount of the investment in percentage terms.
5. Please identify (1) the TSPs, and (2) the companies/entities that are otherwise connected to the communications industry (including each such company’s line of business), in which affiliates of Hux Investment invests, and, in each case, identify the amount of the investment in percentage terms.
6. Please identify the ultimate owner of Hux Investment. Please identify all investments that the ultimate owner of Hux Investment or any of its direct and indirect subsidiaries or affiliates have in TSPs or other companies/entities that are otherwise connected to the communications industry.
7. Please identify the affiliate of Hux Investment that may hold up to 5.3% of Ariel Topco, L.P.
8. Please explain the function of the three intermediate holding companies between Neustar and the trust.
9. Please submit a copy of the proposed Stockholders’ Agreement between Golden Gate Capital and Hux Investment and submit a copy of the LLC Operating Agreement of Ariel Investors, LLC.
10. Please explain how it is intended that the Voting Trust, with Golden Gate employees as trustees, will insulate Golden Gate and Neustar from the influence of Hux Investment.
11. Please explain, with respect to each safeguard, why “other safeguards used by the Commission in the past “are not pertinent” in this transaction” (page 10 of Neustar’s Request).
12. On May 22, 2017, Neustar, Inc. submitted an *ex parte* filing to the Commission containing additional information relating to its neutrality showing. Attached to the May 22nd filing was a Certification from Mr. Dominik regarding his investments in companies connected to the communications industry. Please explain why the statements made in the certification concerning those investments were limited to U.S. communications providers, U.S.-based companies and U.S.-based companies of funds managed by Mr. Dominik.